



15092 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. NSG-216US1

First Inventor Hiroyuki Nemoto et al.

Title ERECT IMAGE RESIN LENS ARRAY AND THE MANUFACTURE THEREOF

Express Mail Label No. EL 985 026 840 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 56]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 22]
5. Oath or Declaration [Total Pages 2]
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
 - c. ☐ Unexecuted Declaration
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Commissioner for Patents
Mail Stop Patent Application
P.O. Box 1450
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☒ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Check
Certificate of Express Mail

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)
Prior application information Examiner Joseph P. Martinez

of prior application No: 10 / 283,859
Group / Art Unit: 2873

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Insert Customer Number 23122

OR ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

Kenneth N. Nigon

Registration No. (Attorney/Agent)

31,549

Signature

Date

February 19, 2004

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Mail Stop Patent Application, P.O. Box 1450, Alexandria, VA 22313-1450.

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322782 U.S. PTO
10782210



021904

FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1060

Complete if Known

Application Number	To Be Assigned
Filing Date	Herewith
First Named Inventor	Hiroyuki Nemoto et al.
Examiner Name	Joseph P. Martinez
Art Unit	2873
Attorney Docket No.	NSG-216US1

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money ☐ Other ☐ None
Order

☒ Deposit Account:

Deposit Account Number: 18-0350
Deposit Account Name: RatnerPrestia

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below
☒ Credit any overpayments
☒ Charge any additional fee(s) or any underpayment of fee(s)
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	770	385	1001	770	Utility filing fee	770
1002	2002	340	170	1002	340	Design filing fee	
1003	2003	530	265	1003	530	Plant filing fee	
1004	2004	770	385	1004	770	Reissue filing fee	
1005	2005	160	80	1005	160	Provisional filing fee	
SUBTOTAL (1)							(\$ 770)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	18	-20**	=	0	X	Fee from below	=	0
Independent Claims	2	-3**	=	0	X	Fee from below	=	0
Multiple Dependent	1				X	290	=	290

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	86	2201	43	Independent claims in excess of 3
1203	290	2203	145	Multiple dependent claim, if not paid
1204	86	2204	43	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)				(\$ 290)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65	1051	130	Surcharge - late filing fee or oath	
1052	2052	50	25	1052	50	Surcharge - late provisional filing fee or cover sheet	
1053	1053	130	130	1053	130	Non-English specification	
1812	1812	2,520	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	1804	920*	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1805	1,840*	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	2251	110	55	1251	110	Extension for reply within first month	
1252	2252	420	210	1252	420	Extension for reply within second month	
1253	2253	950	475	1253	950	Extension for reply within third month	
1254	2254	1,480	740	1254	1,480	Extension for reply within fourth month	
1255	2255	2,010	1,005	1255	2,010	Extension for reply within fifth month	
1401	2401	330	165	1401	330	Notice of Appeal	
1402	2402	330	165	1402	330	Filing a brief in support of an appeal	
1403	2403	290	145	1403	290	Request for oral hearing	
1451	2451	1,510	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	2452	110	55	1452	110	Petition to revive - unavoidable	
1453	2453	1,330	665	1453	1,330	Petition to revive - unintentional	
1501	2501	1,330	665	1501	1,330	Utility issue fee (or reissue)	
1502	2502	480	240	1502	480	Design issue fee	
1503	2503	640	320	1503	640	Plant issue fee	
1460	1460	130	130	1460	130	Petitions to the Commissioner	
1807	1807	50	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	1806	180	180	1806	180	Submission of Information Disclosure Stmt	
8021	8021	40	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	2809	770	385	1809	770	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	2810	770	385	1810	770	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	2801	770	385	1801	770	Request for Continued Examination (RCE)	
1802	1802	900	900	1802	900	Request for expedited examination of a design application	

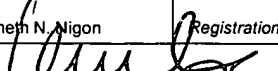
Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Kenneth N. Nigon	Registration No. Attorney/Agent	31,549	Telephone	(610)407-0700
Signature				Date	February 19, 2004

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s): Hiroyuki Nemoto et al.

Docket No.

NSG-216US1

Serial No.

Filing Date

Examiner

Group Art Unit

To Be Assigned

Herewith

Joseph P. Martinez

2873

Invention: ERECT IMAGE RESIN LENS ARRAY AND THE MANUFACTURE THEREOF

I hereby certify that the following correspondence:

Utility Trans.; Fee Trans. (2); Spec. (50 pgs.); Claims (5 pgs.); Abstract (1 pg.) Drawings (22 sheets);
Copy of Original Decl/POA from parent case (2 pgs.); Check; Postcard


(Identify type of correspondence)

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to:

Mail Stop Patent Application,

Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on February 19, 2004

Kathleen Libby*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EL 985 026 840 US*("Express Mail" Mailing Label Number)***Note: Each paper must have its own certificate of mailing.**